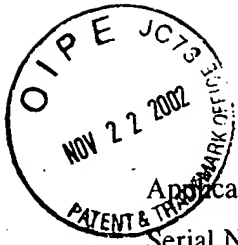


11-25-02

1646



PATENT

RECEIVED  
DEC 02 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter B. Dervan Examiner: Unknown  
Serial No. 09/807,355 Group Art Unit: 1646  
Filing Date: July 9, 2001 Atty Docket No. 025098-2802  
Title: REGULATION OF HER2/NEU ONCOGENE EXPRESSION BY SYNTHETIC  
POLYAMIDES

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 003430551 US  
Date of Deposit: November 22, 2002

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Group Art Unit 1646, Commissioner for Patents, Washington, D.C. 20231.

By: \_\_\_\_\_

*Sandra A'Costa*  
Sandra A'Costa

INFORMATION DISCLOSURE STATEMENT

GROUP ART UNIT 1646  
Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

NOV 26 2002

TECH CENTER 1600/2900

Dear Sir:

In accordance with 37 CFR §1.97(b)(3) or §1.97(d)(1), the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Pursuant to §1.97(d)(1), and the certification under 37 CFR §1.97(e)(1), the undersigned Attorney of record hereby states that each item of information contained in the Information Disclosure Statement filed herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 CFR §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. However, we respectfully bring to the Examiner's attention that the two documents cited in the Search Report have been in an earlier Information Disclosure Statement and are already of record.

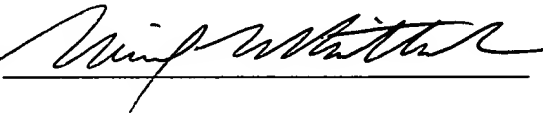
No representation is made that a reference is "prior art" within the meaning of 35 USC §§102 and 103 and Applicant reserves the right, pursuant to 37 §1.131 or otherwise, to establish that the references are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge additional fees, if any, to Deposit Account No. 50-0872.

Respectfully submitted,

Date November 22, 2002

By 

FOLEY & LARDNER  
Customer Number: 30542



**30542**

PATENT TRADEMARK OFFICE

Telephone: (858) 847-6767

Facsimile: (858) 792-6773

Michael A. Whittaker  
Attorney for Applicant  
Registration No. 46,230

|  |     |   |      |                                 |       |                          |                                  |
|--|-----|---|------|---------------------------------|-------|--------------------------|----------------------------------|
| Form PTO-1449<br>(MODIFIED)  |     | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE                                    |      | ATTY. DOCKET NO.<br>025098-2802 |       | SERIAL NO.<br>09/807,355 |                                  |
| <b>SUPPLEMENTAL<br/>INFORMATION DISCLOSURE CITATION</b><br>Mailing Date: November 22, 2002<br>(Use several sheets if necessary)  |     |   |      | APPLICANT<br>Peter B. Dervan    |       |                          |                                  |
|  |     |   |      | FILING DATE<br>04/10/2001       |       | GROUP ART UNIT<br>1646   |                                  |
| <b>U.S. PATENT &amp; TRADEMARK OFFICE DOCUMENTS</b>  |     |   |      |                                 |       |                          |                                  |
| EXAMINER<br>INITIAL  | REF | DOCUMENT<br>NUMBER  | DATE | NAME                            | CLASS | SUB-<br>CLASS            | FILING DATE<br>IF<br>APPROPRIATE |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
| <b>FOREIGN PATENT DOCUMENTS</b>  |     |   |      |                                 |       |                          |                                  |
|  | REF | DOCUMENT<br>NUMBER  | DATE | COUNTRY                         | CLASS | SUB-<br>CLASS            | TRANSLATION<br>YES NO            |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>  |     |   |      |                                 |       |                          |                                  |
|  | A   | Supplemental European Search Report in EP App. No. 99952908.4, dated 24 October 2002, 3 pages |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
|  |     |   |      |                                 |       |                          |                                  |
| <b>EXAMINER</b>  |     |   |      | <b>DATE CONSIDERED</b>          |       |                          |                                  |
| * <b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant. |     |   |      |                                 |       |                          |                                  |